

The Evaluation and Management of the Solitary Pulmonary Nodule

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Work Supported By:

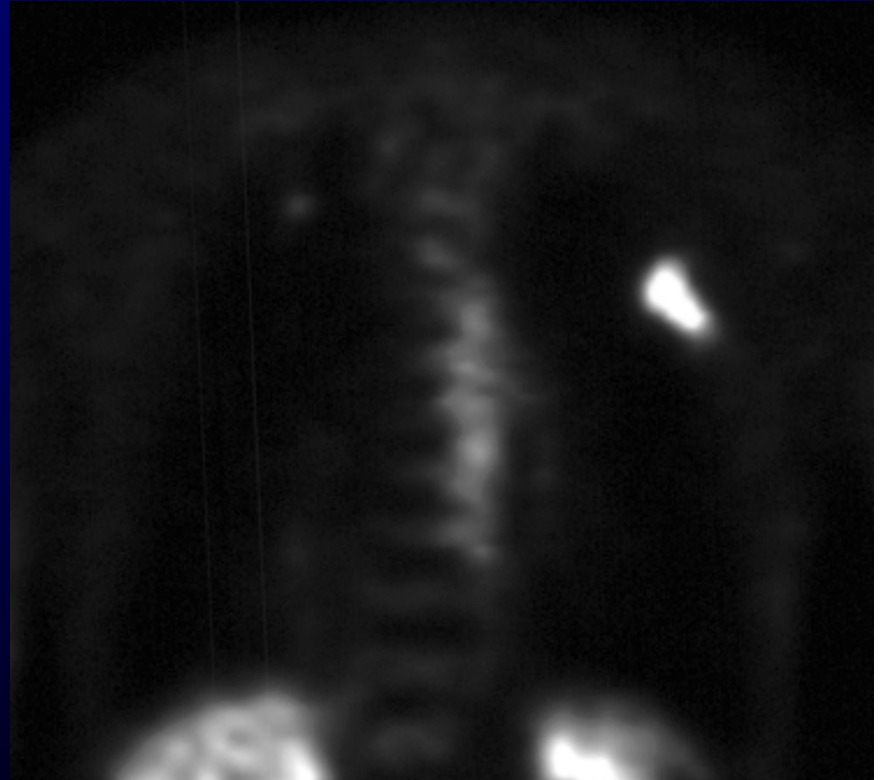
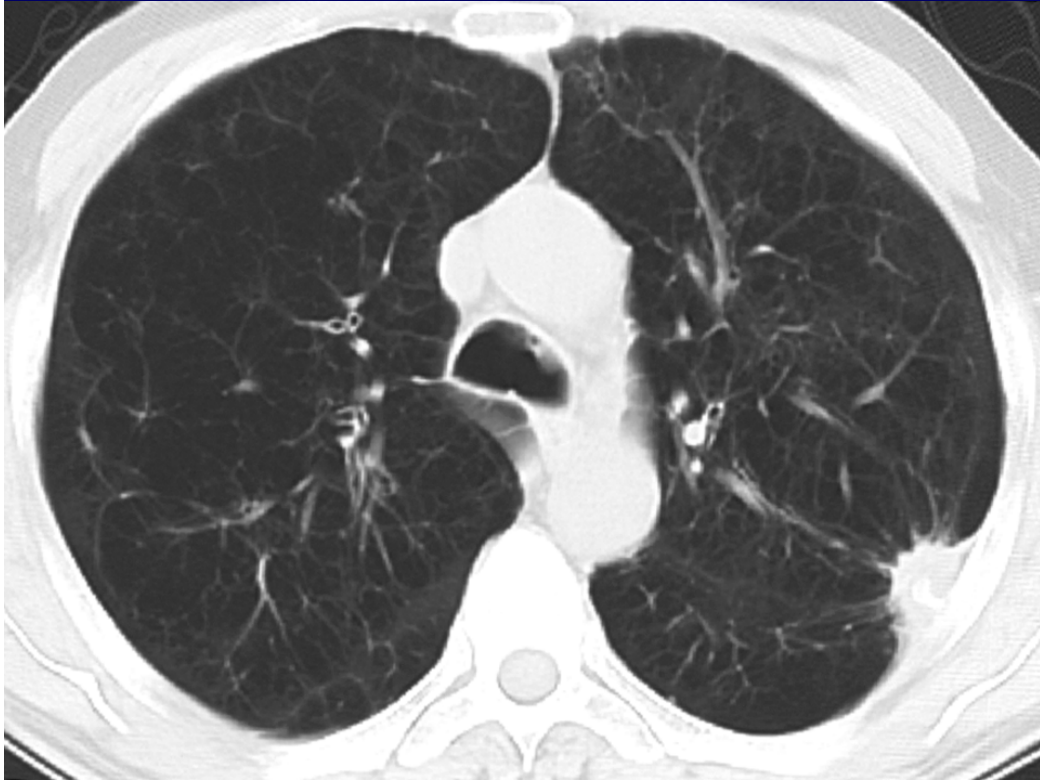
NCI K-24

NCI/Stanford University- Computerized Decision Support for Managing Lung Nodules

DOD- Computer Aided Cancer Management program project grant

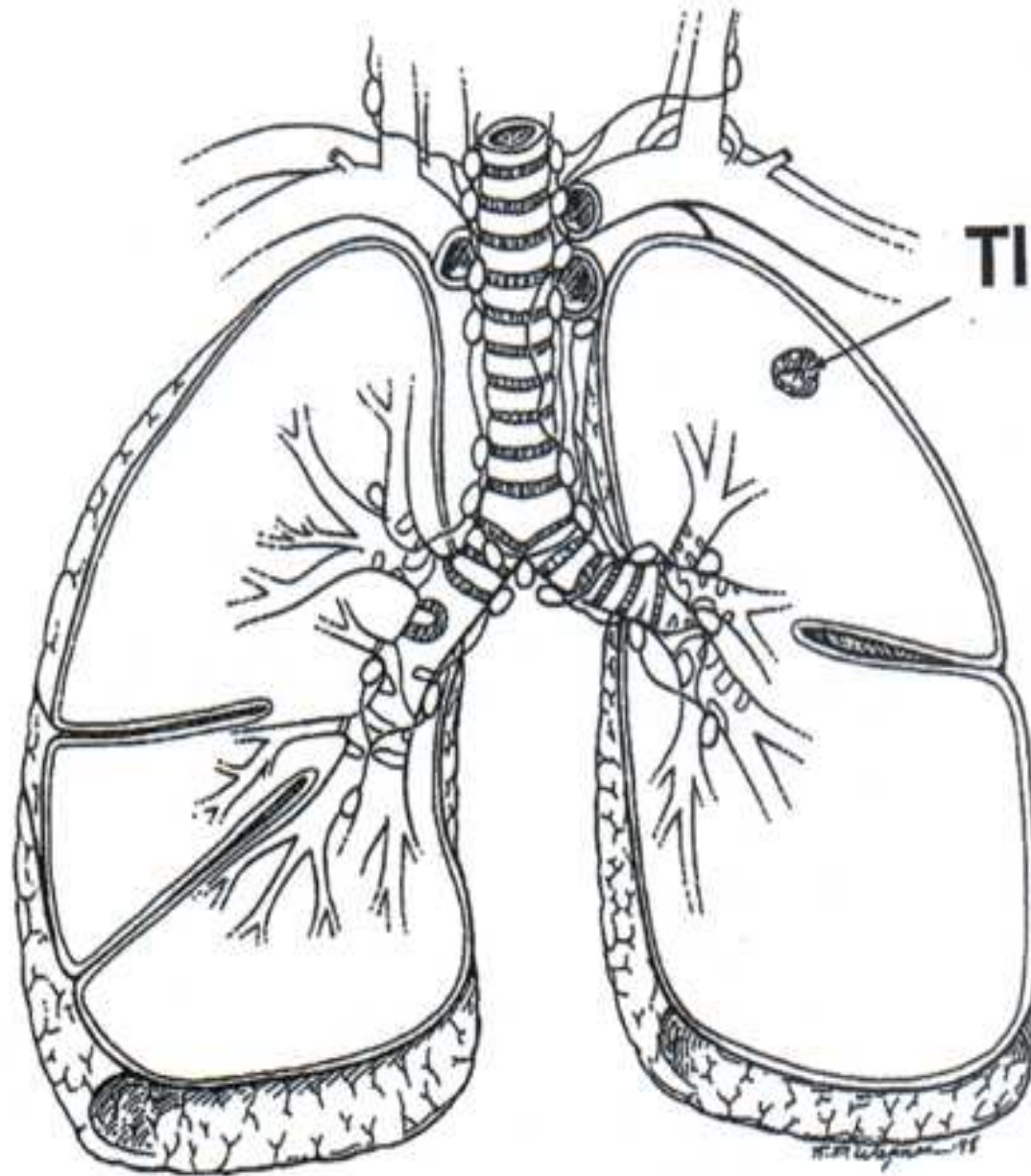
Case Presentation

- 56 year old female has preoperative CXR for elective surgery
- 60 pack year history of smoking
- Asymptomatic
- Family history positive for lung cancer
- Referred for abnormal CXR



Management Alternatives

- Surgery
 - “When in doubt, cut it out”
- Biopsy
 - “When cancer is the answer, tissue is the issue”
- Wait and watch
 - “Don’t just do something...stand there!”



TINOMO

SPN Defined

- Single, spherical, well circumscribed radiographic opacity
- Measures up to 3 cm in diameter
- Completely surrounded by aerated lung
- No atelectasis, pneumonia, hilar enlargement or pleural effusion
- Usually asymptomatic

Ost et al. N Engl J Med 2003;348:2535-42.

More Definitions

- Sub-centimeter nodule (<8-10 mm)
- Nodule (8-30 mm)
- Indeterminate nodule: not calcified in a benign pattern or proved stable over 2 years of follow-up
- Mass (>30 mm)
 - 80-90% are malignant
- Old estimates put the number at about 150,000 annually

What about CT?

- Approximately 60 million CT exams in US annually
- ~ 15% include the chest
- 20-50% screening studies at least 1 nodule
- 1-2 million new nodules per year!

What if we screened?

- 9 million people fit criteria for NLST
- First year 2.25 million nodules (>4mm)

Why is the SPN Important?

- Some nodules are cancer, some are not ...
- Prevalence of lung cancer:
 - 46% to 82% in recent studies of PET imaging
 - 2% to 13% in recent studies of CT screening
- Lower in areas where endemic mycoses and TB are highly prevalent
- Under 35; ~ 1 % malignant
- CT surgical series ~ 80% malignant
- In known extra-thoracic malignancy, a solitary nodule is more likely to represent a second primary lesion than metastasis

Why is the SPN Important?

- Malignant nodules represent a potentially curable form of lung cancer:
 - 5-year survival for patients with malignant SPN 65% to 80%
 - 5-year survival for unselected patients with lung cancer 12% to 15%

Mountain CF. Chest 1997;111:1710

Ginsberg et al. J Thorac Cardiovasc Surg 1983;86:654

Inoue et al. J Thorac Cardiovasc Surg 1998;116:407

Differential Diagnosis: Benign SPN

- Non-specific or healed granulomas (25%)
- Infectious granulomas (15%)
- Benign neoplasms (15%)
 - Hamartoma
 - Lipoma, fibroma, countless others (rare)
- Others: lung abscess, pseudotumor, round atelectasis, AVM, infarct, mucoid impaction, hematoma, rheumatoid nodule, Wegener's

Differential Diagnosis: Malignancy

- Adenocarcinoma (~50%)
 - Bronchoalveolar cell carcinoma (~5%)
- Squamous cell carcinoma (~20%)
- Solitary metastasis (~10%)
- Undifferentiated NSCLC (~10%)
- Small cell carcinoma (<2%)

SPN Management Goals

- To diagnose malignant nodules promptly, in order to permit timely surgical resection
- To correctly identify active inflammatory or infectious processes
- To avoid surgery (when possible) in patients with benign nodules

Management

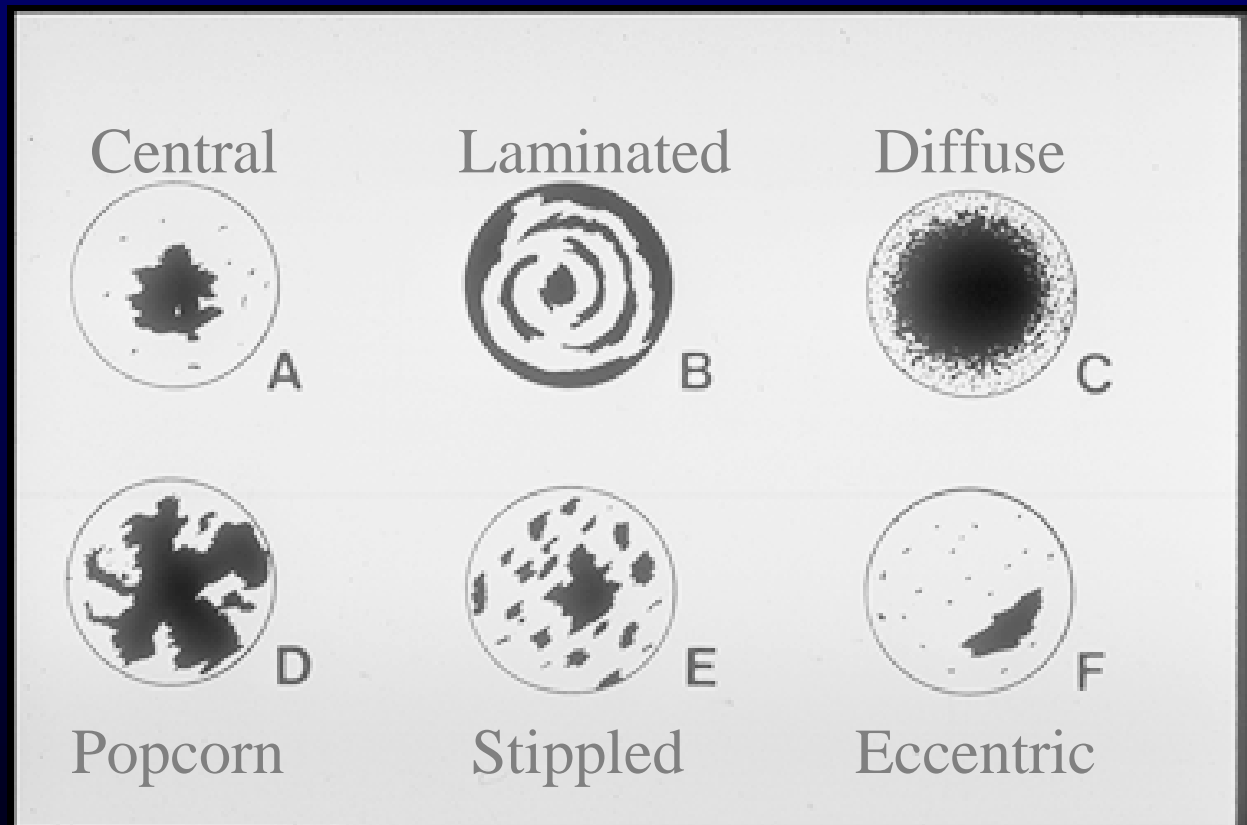
- Estimate clinical “pre-test” probability
- Obtain/review imaging tests
 - CXR
 - CT
 - FDG-PET
- Consider surgical risk
- Elicit patient preferences
- Select surgery, biopsy or “watchful waiting”

Pre-test Probability

- Facilitates selection and interpretation of subsequent tests
- Validated model from Mayo Clinic
 - Six independent predictors of malignant SPN
 - Patient characteristics: **Age, smoking status, history of extrathoracic malignancy**
 - Nodule characteristics: **diameter, spiculation, upper lobe location**

Swensen et al. Arch Intern Med 1997;157:849

CXR: Patterns of Calcification



Patterns A-D are benign; patterns E and F are non-specific

Computed Tomography

- Confirms intraparenchymal location
- Identifies other nodules
- Provides “road map” for subsequent tests
- Gives more accurate information about size and edge characteristics
- May reveal occult calcification

CT: Size Matters

<u>Size</u>	<u>% malignant</u>
• <4 mm	0%
• 4-7 mm	0.8%
• 8-20 mm	22%
• >20 mm	63%

Swensen et al. AJRCCM 2002;165:508-13.

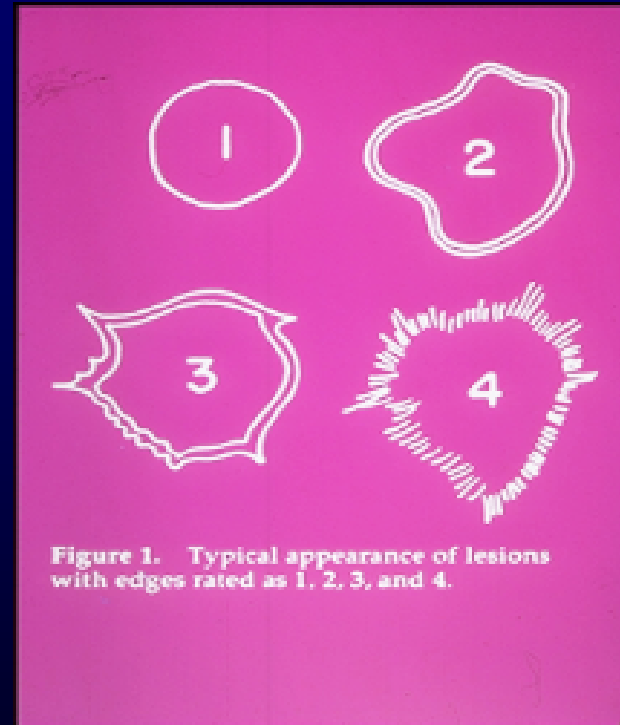
Size

- Screening
- < 3 mm malignancy risk of 0.2%
- 4-7 mm malignancy risk of 0.9%
- Mayo CT Screening Trial

- 2408 Nodules
- \leq 4 mm malignancy risk of 0.2%
- 4-10 mm malignancy risk 1%
- McWilliams J Thorac Oncol 2006;1:61-68

CT: Edge Characteristics

<u>Border type</u>	<u>LR</u>
1. Smooth	0.2
2. Lobulated	0.5
3. Spiculated	5.0
4. Corona radiata	14



FDG-PET Imaging

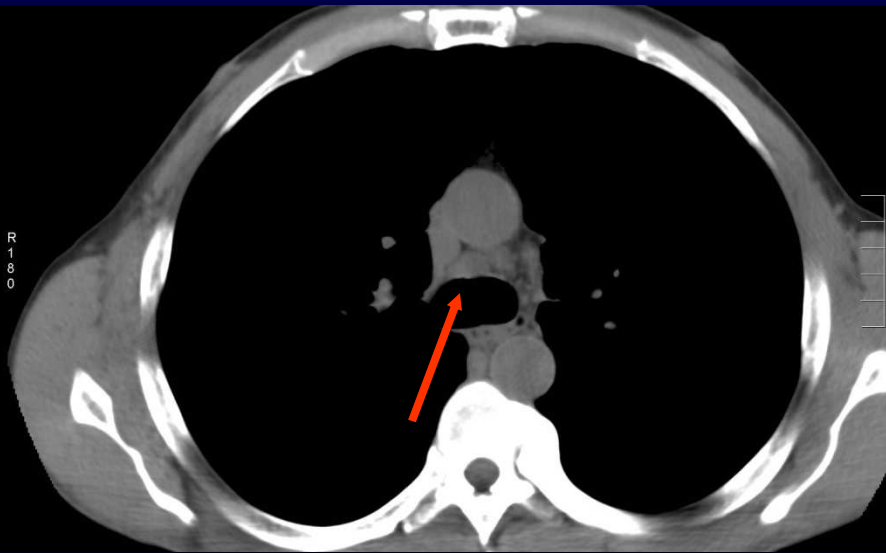
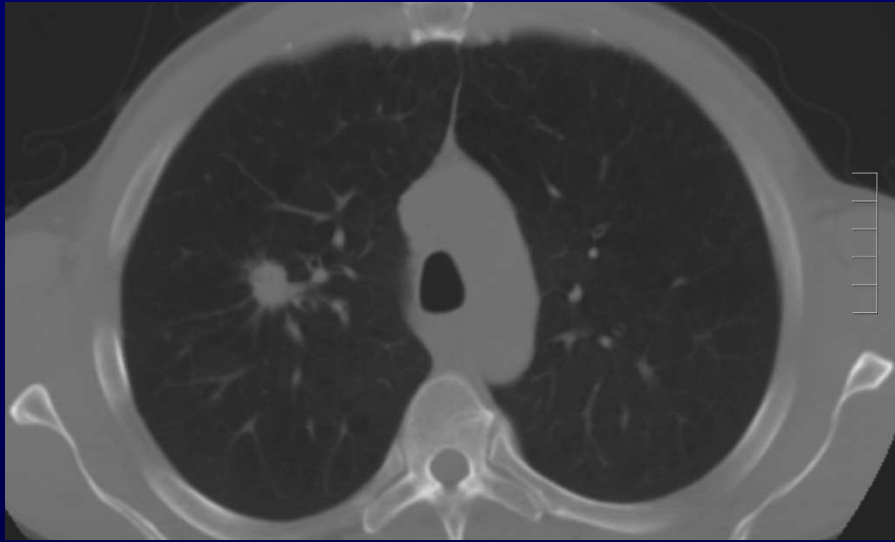
- Non-invasive, functional imaging test
- FDG accumulates in metabolically active tumor cells
- Sensitivity ~87%, specificity ~83%
- False negative results:
 - Small nodules <8 mm to 10 mm
 - Well-differentiated adenocarcinoma, BAC, carcinoid
- False positive results:
 - Granulomatous infection/inflammation

Lowe and Naunheim. *Thorax* 1998;53:703-12.

Wahidi et al. *Chest* 2007.

FDG-PET Imaging

- In patients with low to moderate pre-test probability of malignancy (5% to 60%) and an indeterminate SPN > 8 mm to 10 mm in diameter, we recommend that FDG-PET imaging should be performed to characterize the nodule. Grade 1B.
- **Recommendation 10:** In patients with a SPN that has a high pre-test probability of malignancy ($>60\%$), or patients with a sub-centimeter nodule that < 8 mm to 10 mm in diameter, we suggest that FDG-PET should not be performed to characterize the nodule. Grade 2C.



SPN Management

Recommendation 11: In every patient with a SPN, we recommend that clinicians discuss the risks and benefits of alternative management strategies and elicit patient preferences. Grade of recommendation: 1C

Observation (“Wait and Watch”)

- Optimal time interval between repeat imaging studies not known
- Growth at any time is presumptive evidence of malignant SPN and should prompt surgical referral
- Delay in diagnosis of malignant SPN may lead to disease progression and missed opportunity for cure
- Magnitude of risk not known

Observation


ACCP Rec: In patients with an indeterminate SPN at least 8 mm to 10 mm, observation with serial CT scans is an acceptable:

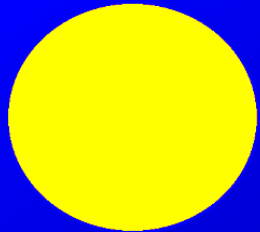
- when the probability of malignancy is very low (<5%)
- when clinical probability is low (<30% to 40%), and the lesion is not hypermetabolic by FDG-PET
- when needle biopsy is non-diagnostic and the lesion is not hypermetabolic by FDG-PET
- when a fully informed patient prefers this non-aggressive management approach. (Grade 2C)

Doubling Time


- Specifically refers to *VOLUME*
- $4/3\pi r^3$
- 26% diameter change equals 1 doubling
- Lung Cancer 30-1200 days (median 120)
- Range of 2.5-40 years for tumor to reach 1 cm
- Benign lesions < 30 days or > 465 days

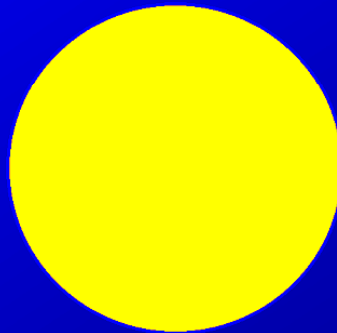
DOUBLING TIME


4.0 mm



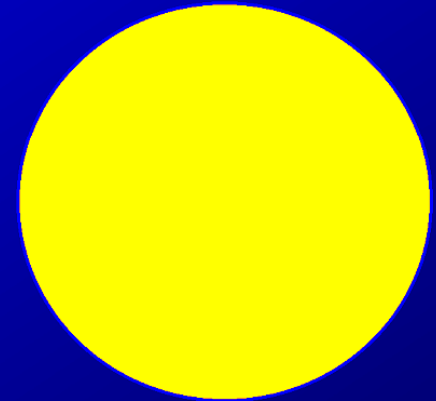
3.0 cm


5.0 mm

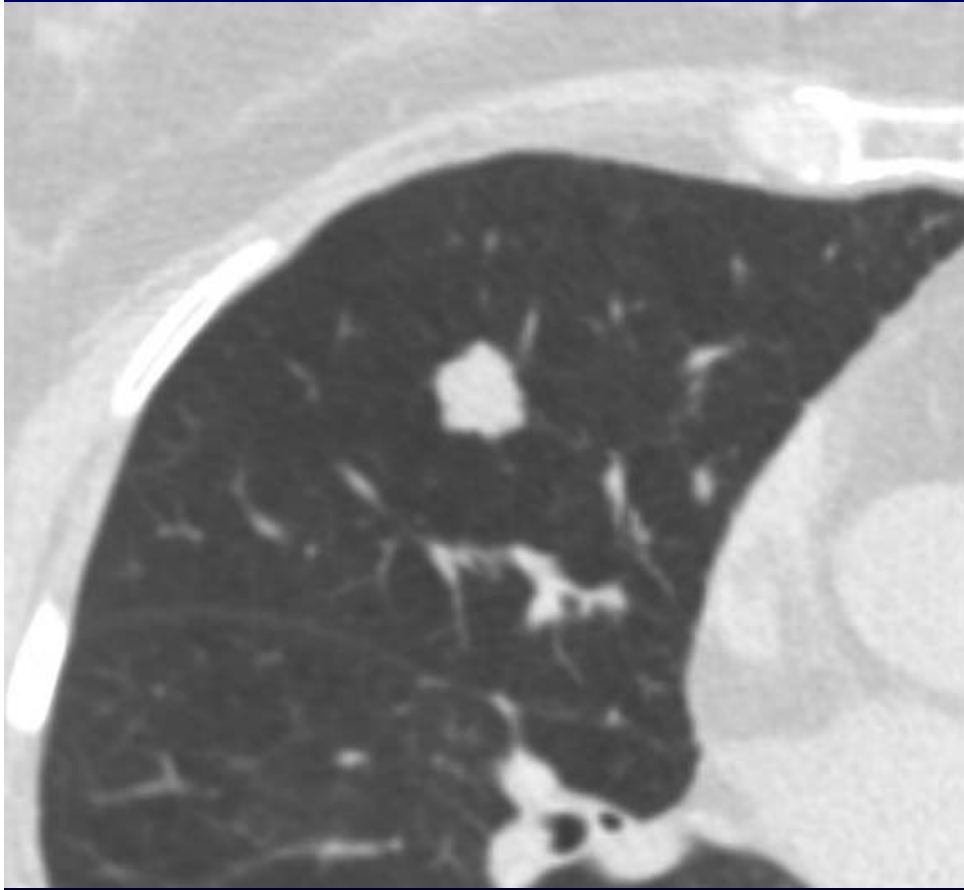


3.75 cm

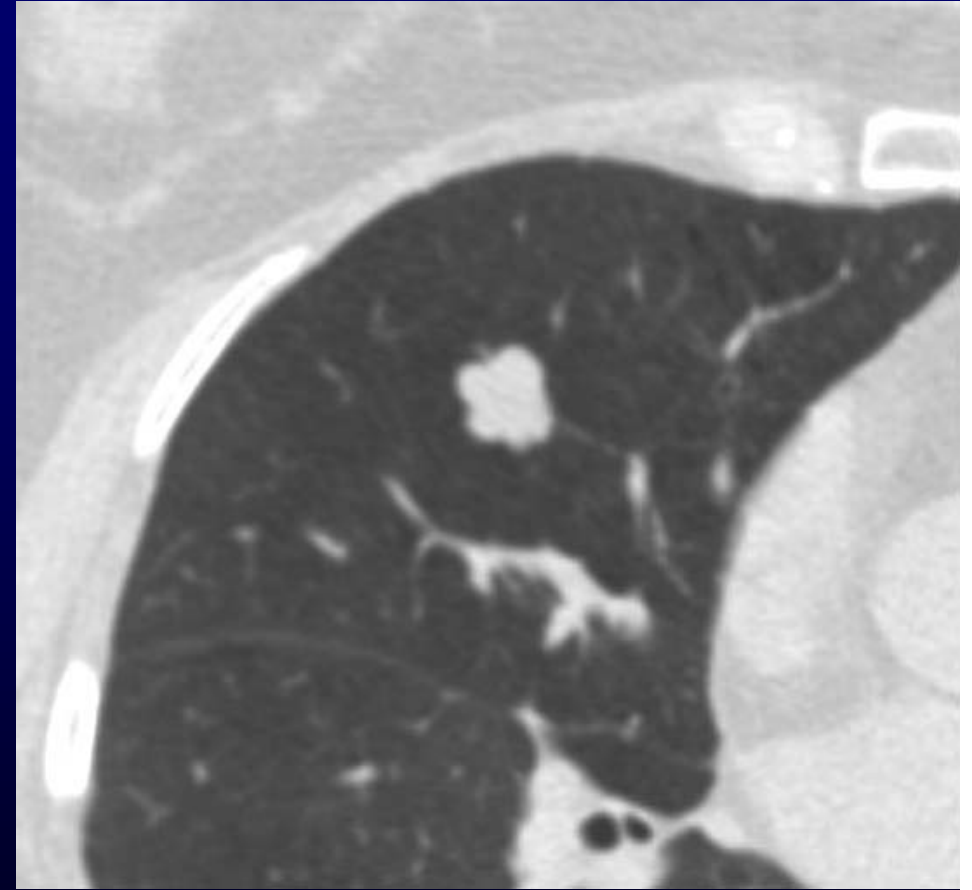

6.2 mm



4.70 cm



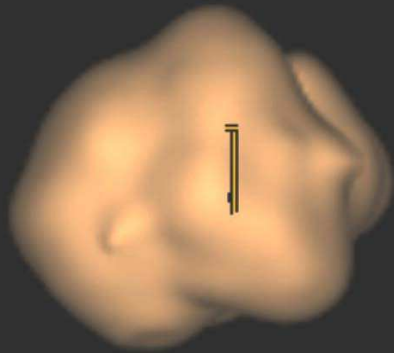
3/05



9/05

DFOV 4.3 cm
STANDARD
235/4
N1: 787 mm³

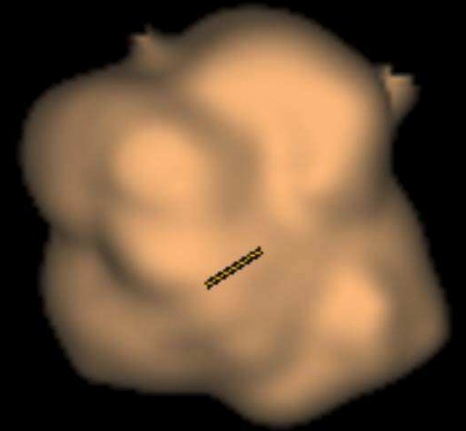
R
7
4



3/05

DFOV 4.5 cm
STANDARD
268/1
N1: 1,334 cm³

R
7
6



9/05

Doubling Time

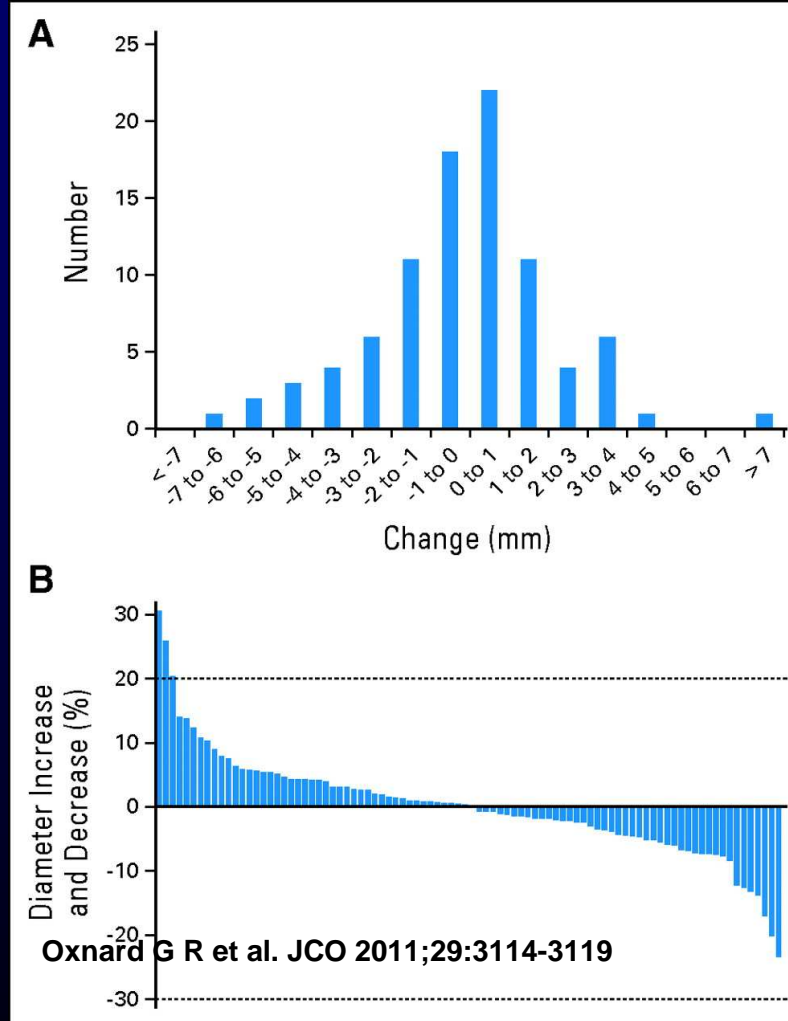
- 20 doublings = 1mm
- 30 doublings = 1 cm
- 40 doublings = 10 cm

- Over 50% of the life of a malignancy is spent at an undetectable size
- For ground glass and part-solid nodules density change may be more important

Variability of Tumor Measurement on Repeat CT within 15 minutes

- 33 patients with NSCLC
 - Repeat CT within 15 min.
 - 57% 1mm difference
 - 33% 2mm difference
 - 23% shrinkage to 33% growth.
-
- JCO 2011, 29:311

Distribution of measurement changes found on repeat computed tomography scans performed within 15 minutes of each other, in millimeters; there was a greater than 1-mm magnitude of change in the majority of lesions (57%).



Subcentimeter Nodules

- Measure <8 to 10 mm in diameter
- Poorly characterized by CT and PET
- Difficult to biopsy
- Low prevalence of malignancy
 - <1% when diameter <5 mm
 - 2.3% to 6% when diameter 5 to 9 mm
- Serial CT typically used to identify growth

Subcentimeter Nodules

ELCAP: 233 nodules on baseline CT scan

- 189 solid nodules (7% malignant)
- 16 semisolid nodules (63% malignant)
- 28 pure ground-glass opacity (18% malignant)

Henschke et al. *AJR* 2002; 178:1053-1057.

60% of GGO malignant in other studies

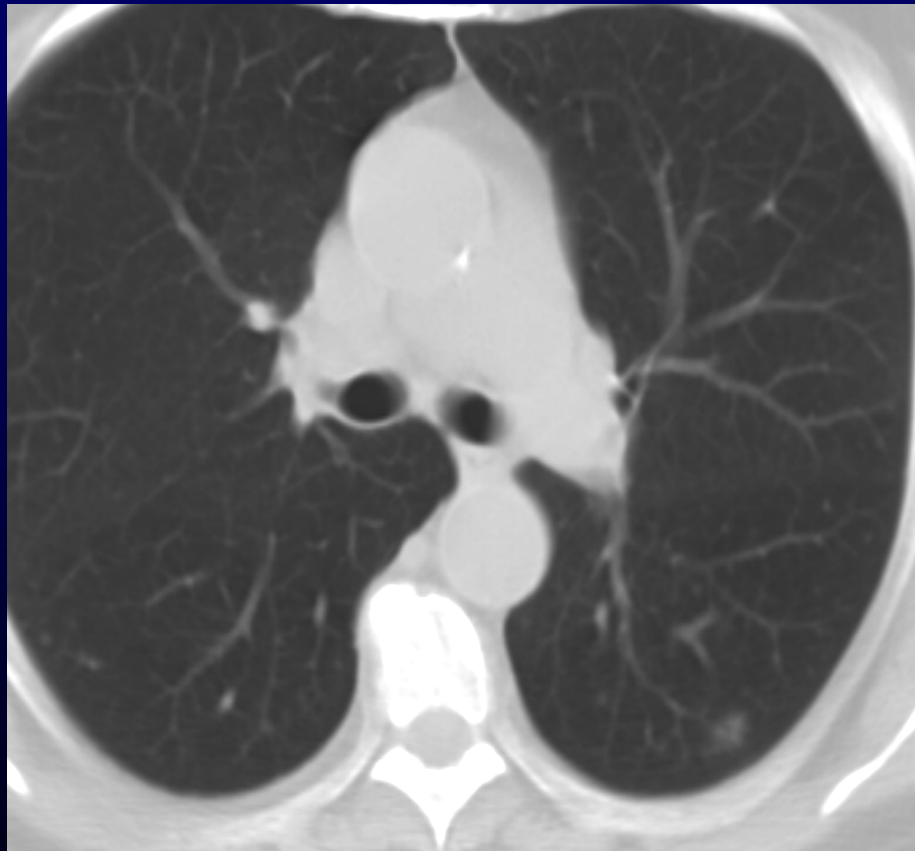
Takashima et al. *AJR* 2003;180:1255.

Li et al. *Radiology* 2004;233:793.

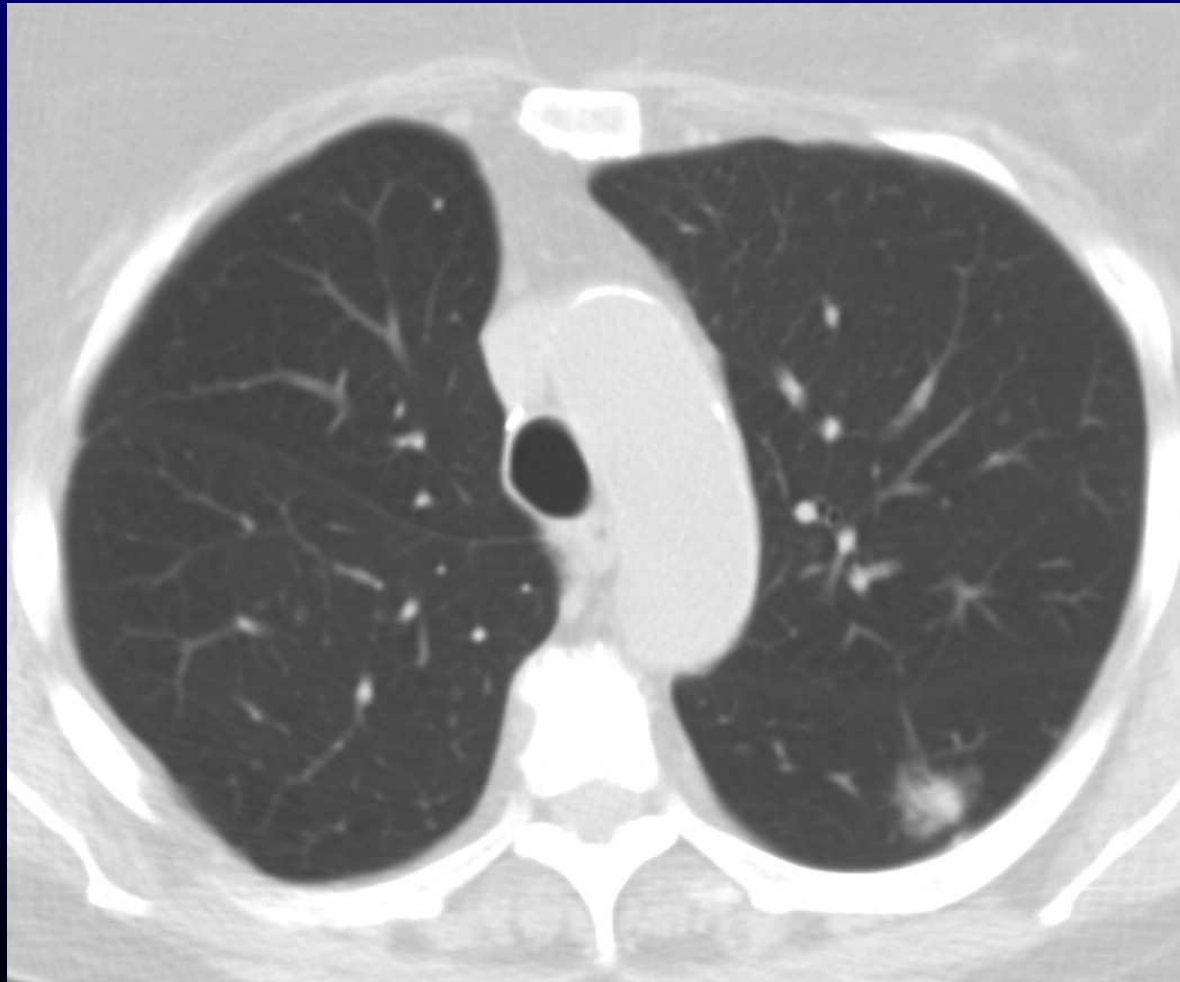
Sub-Types of Nodules

- Solid
- Part-solid
- Ground glass

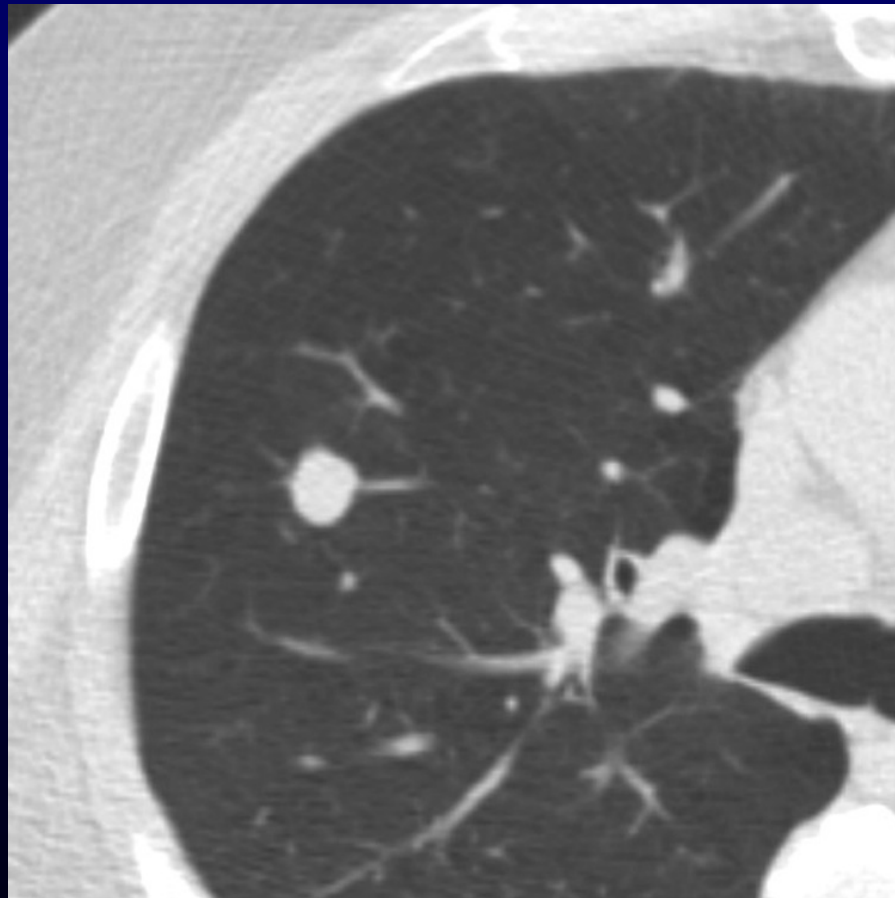
Ground Glass Nodule



Part-Solid Nodules



Solid Nodules



Morphology, Pathology and Growth

	Pathology	TVDT (days)
Pure GGO	AAH→BAC	~800
Semi-solid	BAC→Adenocarcinoma; Squamous; Small cell	~450
Solid	Adenocarcinoma; Squamous, Small cell	~150

Favorable Prognosis in GGOs

- 69 cancers with large GGO component (47 BAC)
- All stage I, no evidence of recurrence at 35 months of follow-up

Suzuki et al. *Ann Thorac Surg* 2002; 74:1635-1639.

- 17 GGO with wedge or segmentectomy
- No recurrence at 32 months of follow-up

Watanabe S et al. *Ann Thorac Surg* 2002; 73:1071.

Subcentimeter Nodules: Management Considerations

- Minimize radiation exposure by limiting dose and frequency of exams, while identifying growth as early as possible
- Frequency of recommended follow-up depends on:
 - Risk factors for cancer
 - Size of nodule
 - Nodule morphology
 - Technical limitations in identification of growth
 - Comorbidities and surgical risk

<u>Size</u>	<u>Low Risk</u>	<u>High Risk</u>
<u>≤4mm</u>	No f/u	f/u 12 months
4-6 mm	f/u 12 months	f/u 6-12 months up to 2 years
6-8 mm	f/u 6-12 months up to 2 years	f/u 3-6 months
>8 mm	f/u 3, 9, 24 mos./consider PET or biopsy	

Ground glass and part-solid nodules may require follow-up greater than 2 years to exclude indolent adenocarcinomas

CT-Guided FNA

11 studies with data about accuracy in SPN:

- Median sensitivity 90% (range 65% to 94%)
- Median specificity 100% (range 96% to 100%)
- Specificity assumed to be 100% in some studies
- Non-diagnostic results 5x more common in benign than malignant nodules, but non-diagnostic biopsy does not rule out malignancy
- Median probability of PTX 26.5% (range 15% to 43%)
- ~5% required chest tube (range 4% to 18%)

Wahidi et al. Chest 2007.

Biopsy: TTNA

ACCP recs: In patients with an indeterminate SPN $> 8 - 10$ mm it is appropriate to perform a TTNA or bronchoscopy in the following circumstances:

- when clinical pre-test probability and findings on imaging tests are discordant, for example, when the pre-test probability of cancer is high and the lesion is not hypermetabolic by PET
- when a benign diagnosis requiring specific medical treatment is suspected
- when a fully informed patient desires proof of a malignant diagnosis prior to surgery, especially when the risk of surgical complications is high.
- Patient non operative and need tissue to rx

Surgery

- Gold standard for diagnosis and treatment
- VATS biopsy for peripheral lesions
 - Convert to thoracotomy with lobectomy and mediastinal lymph node dissection if frozen section reveals cancer
 - Mortality ~1%
 - VATS lobectomy (with LN dissection) requires special expertise
- Limited resection for marginal surgical candidates
- Thoracotomy may be required for deeper lesions
 - Morbidity 30%
 - Mortality 2% to 4%

Surgery

ACCP REC: In surgical candidates with indeterminate SPN 8 mm to 10 mm, surgical diagnosis is preferred in most circumstances, including:

- when the clinical probability of malignancy is moderate to high (>60%)
- when the nodule is hypermetabolic by FDG-PET imaging
- when a fully informed patient prefers undergoing a definitive diagnostic procedure

Grade of recommendation: 1C

Back to our patient...

- Patient was discussed at combined thoracic oncology tumor board
- Pre test probability for cancer felt to be very high
- Patient offered and agreed to surgery
- Underwent an uncomplicated wedge resection
- Dx -- **Sarcoidosis**

Conclusion

- Common Radiologic Problem with an Increasing incidence
- Multiple Imaging Strategies
- Multiple minimally invasive and surgical approaches
- Management Decisions Often Based on Pre-test Probability of Malignancy

